

★SMIN P32 93-010634/02 ★EP 522822-A1  
Knee joint prosthesis articular surface - has tibial and femoral components capable of flexing about axis reaching inclination of from about 10 to 50 deg. to horizontal (Eng)

SMITH & NEPHEW RICHARDS INC 91.07.10 91US-727971

(93.01.13) A61F 2/38

92.07.06 92EP-306205 R(AT BE CH DE DK ES FR GB IT LI LU NL SE)

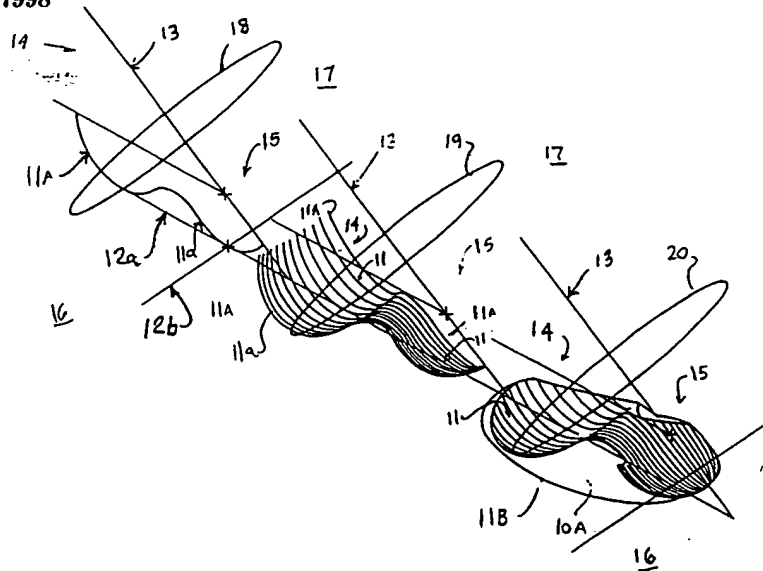
A prosthesis (10) comprises a tibial component (10-A), and a femoral component (10-B) that articulates against the tibial component (10-A). The tibial (10-A) and femoral (10-B) components are capable of flexing about a flexion axis that reaches an inclination of from about ten degrees to about fifty degrees with respect to a line that is tangent to the distal femoral condyles in the frontal plane, while maintaining congruent contact.

The femoral component (10-B) is capable of external rotation with respect to the tibial component (10-A), at least during the first few degrees of flexion from a beginning fully extending position. The flexion axis is higher toward the middle portion of the users body and lower toward the side portion of the users body. (12pp Dwg.No.7/10)

CT: 1.Jnl.Ref EP119394 EP376658 EP442330 FR2145957

US4034418 US4340978 US4892547

N93-007998



BEST AVAILABLE COPY

© 1993 DERWENT PUBLICATIONS LTD.

128, Theobalds Road, London WC1X 8RP, England

US Office: Derwent Inc., 1313 Dolley Madison Boulevard,

Suite 401 McLean, VA22101, USA

Unauthorised copying of this abstract not permitted.



Europäisches Patentamt  
European Patent Office  
Office européen des brevets



(11) Publication number : **0 522 822 A1**

(12)

## EUROPEAN PATENT APPLICATION

(21) Application number : **92306205.3**

(51) Int. Cl.<sup>5</sup> : **A61F 2/38**

(22) Date of filing : **06.07.92**

(30) Priority : **10.07.91 US 727971**

(43) Date of publication of application :  
**13.01.93 Bulletin 93/02**

(84) Designated Contracting States :  
**AT BE CH DE DK ES FR GB IT LI LU NL SE**

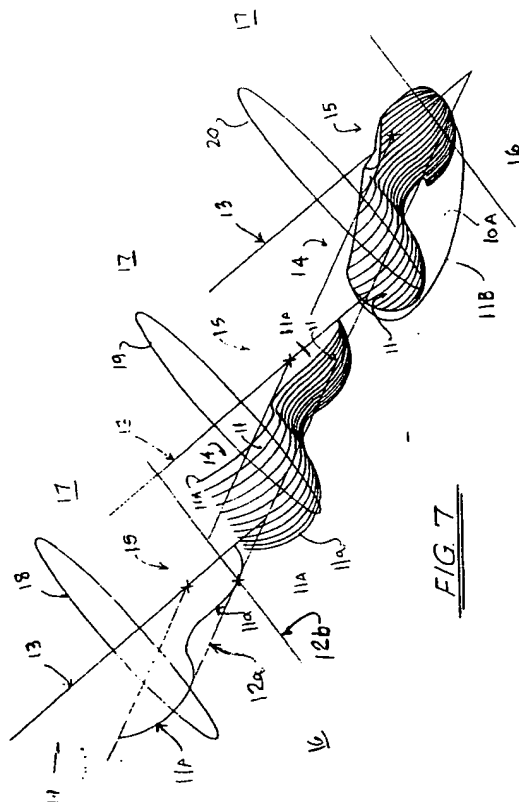
(71) Applicant : **SMITH & NEPHEW RICHARDS INC.**  
**1450 Brooks Road**  
**Memphis, Tennessee 38116 (US)**

(72) Inventor : **Evans, David L.**  
**6434 Hawk's Call Lane**  
**Bartlett, Tennessee 38135 (US)**  
Inventor : **Gosney, Mark S.**  
**2424 Hawkhurst**  
**Memphis, Tennessee (US)**

(74) Representative : **W.P. Thompson & Co.**  
**Coopers Building, Church Street**  
**Liverpool L1 3AB (GB)**

(54) **Knee joint prosthesis articular surface.**

(57) There is disclosed a knee replacement prosthesis (10) includes a tibial component (10-A) and a femoral component (10-B) with improved articular surface geometries. The improved geometries allow substantial internal rotation of the tibial component (10-A) with respect to the femoral component (10-B) during at least the first twenty degrees (20°) of flexion. The tibial and femoral components (10-A, 10-B) are capable of flexing about an axis that reaches an inclination of from about ten degrees (10°) to about fifty degrees (50°) to the horizontal.



EP 0 522 822 A1

The present invention relates to knee joint prostheses, and more particularly, to an improved implantable knee joint prosthesis including femoral and tibial components with improved articular surface geometries that allow flexion of the knee about an inclined flexion axis with regard to horizontal, the flexion axis being higher toward the middle of the body and lower toward the outside edge of the body.

In the reconstruction of the anatomical knee joint by total or partial replacement with a prosthetic joint, femoral and tibial prosthetic components provide a knee joint prosthesis in which the articulating or contacting surfaces of the components operate to provide a functioning knee joint.

At the present time, most knee prostheses provide for anteroposterior rotation about a horizontal flexion axis in order to allow movement similar to the anatomical knee joint with the tendons and ligaments of the joint imparting stability and the components affording a certain degree of stability.

Human joints are complex systems which serve a number of functions, perhaps the most important function is to provide a means of moving body parts for locomotive purposes. The motion provided by most human joints is not simple rotation or translation, but a complex combination of movements.

Perhaps the best known joint with complex motion is the knee. The knee is capable of translation and rotation about three orthogonal axes. Motion is controlled by the collective actions of the articular surface geometries and forces applied to the joint.

Joint replacement devices utilized for pain relief and restoration of function seek to restore normal motion. However, in all cases known to the applicants, the motion allowed by the articular surface geometries (which have been simplified to readily facilitate manufacture and to minimize wear of the articulating components) is abnormally simple. As a result, a "kinematic conflict" may develop at the articular surfaces because the motion allowed by the implants may not be compatible with their relative positions as dictated by external forces.

A kinematic conflict may lead to excessive stresses between the articulating components, leading to destruction of one or both surfaces. Destruction of the surfaces will result in high wear rates, the release of wear debris into the joint, and undesirable tissue reactions. The symptoms are likely to be sufficiently severe to require surgical removal and replacement of the implants, with all of the inherent risks and injuries to the patient.

The above-described problem is particularly true of the reconstructed knee joint in movements from full extension to about twenty degrees of flexion during load bearing activities such as walking. During these activities the normal femur externally rotates about five to fifteen degrees ( $5^{\circ}$ - $15^{\circ}$ ) on the surface of the tibia as the knee flexes twenty degrees ( $20^{\circ}$ ). All total knee replacements known to the applicants will not allow this motion to occur without the aforementioned kinematic conflict and negative consequences.

Prior art approaches to this problem include utilizing incongruent articular surface geometries (except for line contact). In these designs, congruence of the articular surfaces is limited to one plane (usually the frontal plane) and results in a line contact between the components. The elasticity of one of the articulating members (usually a polymer tibial component) allows the line contact to expand to an area contact if contact forces are sufficiently large. However, the area contact due to elastic deformation is not sufficient to protect the polymer from excessive stresses and the resulting creep and wear phenomena reported in the literature.

Geometries of this type are advantageous in that they allow relative internal/external rotations of the components without large external forces being applied. However, the contact area between the components diminishes further under these circumstances because the congruent profiles are no longer aligned.

Another approach to this problem has been to simply ignore it, by configuring the articular surface geometries for an area contact and restricting congruent motion to one plane (i.e. flexion and extension only). This approach is advantageous in the sense that it maximizes contact area in pure flexion/extension movements, thus should minimize creep and wear of the polymer.

Designs of this type will not allow internal/external rotation during flexion and extension without substantial effort and stresses between the articulating components. As in the former case, excessive stresses lead to wear and creep of the polymer component and early failure of the joint replacement.

There is yet another approach to this problem known as the meniscal bearing prosthesis. Meniscal bearing prostheses feature an area contact at the articular interface because of congruent surfaces (similar to the Tri-con knee). To obviate the problem of excessive constraint inherent in these designs, the polymer insert(s) is designed to be mobile on the surface of a metal plate which is attached to bone. The mobility of the insert(s) helps to avoid a kinematic conflict at the articular interface by allowing the bones to adjust their relative positions according to external forces while maintaining an area contact at the articular interface.

Examples of meniscal bearing designs can be found for example in the oxford knee (European patent 327387-A) and new Jersey Knee (U.S. patents 4,309,778, 4,340,978, and 4,470,158). These designs have several disadvantages. First, the polymer inserts have a tendency to dislocate, requiring surgical intervention for replacement. Second, if all ligamentous structures are not preserved and functional, these designs will not provide the stability required for good function and patient confidence. Additionally, the new Jersey design, while featuring mobile inserts, does not allow the desired motion, because internal/external rotations of the inserts

are centered on the metal tibial tray, and not lateral to the center. This inconsistency may lead to a kinematic conflict (especially if all ligamentous structures are preserved and functional).

An example of another prior art type of knee joint presently utilized is disclosed eg. in U.S. Patent No. 4,298,992, issued on November 10, 1981 for a "Posteriorly Stabilized Total Knee Joint Prosthesis" wherein there is included a femoral component utilizing a pair of laterally spaced apart condylar portions, each of which having an external surface convexly curved to match generally the lateral profile of the anatomical femoral condyle.

U.S. Patent No. 4,298,992 further discloses a tibial component and a platform portion including spaced apart concavities for receiving each of the condylar portions of the femoral component. The post extends from the tibial plateau into the intracondylar recess of the femoral component so that upon full flexion of the joint, the knee joint is stabilized between the tibial post and femoral recess. The '992 patent addresses the prevention of translocation of the knee during flexion.

The present invention seeks to provide tibial and femoral components with improved articular surface geometries which would enable the tibia to rotate with respect to the femur.

An object of the invention is to create an artificial joint articular surface geometry which will allow internal/external rotations of the joint during flexion/extension movements, while preserving a higher degree of congruence between the articulating surfaces than that allowed by conventional joint replacements.

By allowing combinations of movements with a high degree of congruence between articulating components, contact stresses between components will be reduced, resulting in less creep and wear (*ceteris paribus*).

The femoral component and tibial components are designed to articulate with one another during normal movements of the knee. The motion which typically occurs during the first twenty degrees of flexion includes up to fifteen degrees (15°) of internal rotation of the tibial component. This combination of flexion and internal rotation with substantially congruent surface area contact between components is facilitated by the special articular surface geometries.

The tibial surface is created by swinging a profile about an axis inclined at an angle between ten and fifty degrees (preferably about thirty-six degrees) with respect to the horizontal reference plane. The inclined axis passes through the horizontal reference plane at a position lateral to the center of the knee. The profile is swept in anterior and posterior directions from the starting position through a sufficiently large arc to completely cover the surface of the largest tibial component required. In the lateral compartment, the profile may not be swept anteriorly about the inclined axis, depending upon the need to match the shape with the femoral component. This change will not affect the kinematics of the tibiofemoral articulation.

The femoral articulating surface is created in a similar manner. A profile is swept about the same inclined axis as with the tibial surface. The profile is swept in anterior and posterior directions through a sufficiently large arc to provide for substantially congruent contact between the femoral and tibial surfaces through the first twenty to thirty degrees of flexion of the femoral component. In the lateral compartment, the profile may not be swept anteriorly about the inclined axis, depending upon the need to match the shape with the tibial component. This change will not affect the kinematics of the tibiofemoral articulation.

For flexion greater than twenty degrees, the posterior condyles of the femoral component begin to articulate with the tibial surface. During this motion, the contact is a small surface area contact, due to the geometries and elasticities of the contacting surfaces. Flexion of the femoral component beyond twenty degrees occurs about an axis which is more or less parallel to the posterior condylar axis.

Because of the inclined axis for the first twenty degrees of flexion, the surfaces of the tibial and femoral components can maintain a large surface area of contact, without a kinematic conflict. The large area of contact will result in lower contact stresses and, therefore reduce creep and wear of the articular surfaces. Reducing damage will extend the life of the joint replacement and reduce the likelihood that revision surgery will be necessary. This benefit is realized without a compromise in stability (as in meniscal bearing designs), allowing the articular geometry to be used in knees where one or both cruciate ligaments are absent or non-functional.

Therefore, it is an object of the present invention to provide an improved knee prosthesis including tibial and femoral components with improved articulating surface geometries.

It is still another object of preferred embodiments of the present invention to provide a knee joint prosthesis wherein the femoral and tibial components provide improved articular surface geometries that allows rotation (as much as fifteen degrees) of one component with respect to the other about an inclined flexion axis. It is still a further object of preferred embodiments of the present invention to provide a total knee joint prosthesis which includes improved articular surface geometries wherein articular surfaces are formed by rotating a knee prosthesis profile about an inclined axis through a preferred angle of between twenty and forty degrees (20°-40°) of rotation to create an articular surface which allows the tibial and femoral components to rotate about an inclined flexion axis while maximizing contact area therebetween to lower stresses and prolong prostheses

life. The profile, however, could be rotated through an angle of between one and one hundred twenty degrees (1°-120°), through twenty to forty degrees (20°-40°) is preferred.

The invention will now be further described by way of example with reference to the following detailed description taken in conjunction with the accompanying drawings, in which like parts are given like reference numerals, and wherein:

Figure 1 is a side view of the preferred embodiment of the apparatus of the present invention in full extension position;

FIGURE 2 is a top view of the preferred embodiment of the apparatus of the present invention in full extension position;

FIGURE 3 is a front view of the preferred embodiment of the apparatus of the present invention in full extension position;

FIGURE 4 is a side view of the preferred embodiment of the apparatus of the present invention on a twenty degree (20°) flexion position;

FIGURE 5 is a front view of the preferred embodiment of the apparatus of the present invention in ninety degree flexion position;

FIGURE 6 is a side view of the preferred embodiment of the apparatus of the present invention in a ninety degree (90°) flexion position;

FIGURE 7 is a perspective schematic view of the preferred embodiment of the apparatus of the present invention illustrating generation of the tibial articular surface for a bicompartmental knee prosthesis;

FIGURE 8 is a perspective schematic view of the preferred embodiment of the apparatus of the present invention illustrating the femoral articular surface for a bicompartmental knee prosthesis;

FIGURE 9 is a perspective schematic view of the preferred embodiment of the apparatus of the present invention illustrating generation of the femoral articular surface for a unicompartmental knee prosthesis; and

FIGURE 10 is a perspective schematic view of the preferred embodiment of the apparatus of the present invention illustrating generation of the tibial articular surface for a unicompartmental knee prosthesis.

Referring to the drawings, the preferred embodiment of the knee joint prosthesis of the present invention is illustrated in the figures by the numeral 10. Knee prosthesis 10 includes a tibial component 10-A and a femoral component 10-B. The tibial component 10-A has an upper most tibial articular surface 11 which bears against a femoral articular surface 21 of the femoral component 10-B.

In figure 7, the tibial articular surface 11 is illustrated for a tibial component 10-A having a bicompartmental or two condylar surface construction. Figure 7 illustrates a generation of the tibial articular surface 11 using a horizontal reference plane 12 defined by the lines 12-A, 12-B. The line 13 represents an inclined axis, inclined with respect to the horizontal plane 12 by a measure of for example thirty degrees (30°). In the preferred embodiment, the inclined axis is inclined at an angle of between ten and fifty degrees (10-50°).

In figure 7, the medial 14 and lateral 15 side of the patient's knee is shown for each generation of the tibial articular surface which is illustrated by the three rotational paths 18, 19 and 20. In the left hand side of figure 7, an initial rotational path 18 is shown with a single curved line 11-A representing the tibial articular surface profile. While the profile 11-A consists of three tangent curves, the profile may take other forms, such as two straight lines connected by one or more curves or straight lines (i.e. the form of the profile itself is not critical, as long as it meets other general requirements for a knee prosthesis). In the middle of figure 7, the profile 11-A has been rotated through a measure of approximately forty degrees (40°) so that a plurality of lines 11-A represent a generation of the surface 11 about the axis 13. In the right hand side of figure 7, the completed articular surface 11 is shown surrounded by the peripheral edge 11-B of the tibial component 10-A. It should be understood that the tibial component 10-A could for example be of a polymer material and of a desired outer peripheral shape 11B and of a desired thickness.

In figure 8, the femoral articular surface 21 is illustrated as is the method of generating the femoral articular surface 21. In figure 8, the horizontal plane 22 is defined by the perpendicular lines 22A, 22B. Inclined axis 23 defines an angle of between 10 and fifty degrees with respect to horizontal plane 22 and represents the axis about which the profile line 11B is rotated as represented by the rotational paths 28, 29, and 30.

The profile 11B represents a profile for forming a bicompartmental knee or two condylar knee prosthesis. In the left hand side of figure 8, the path of rotation 28 is firstly illustrated with regard to the single line profile 11B. In figure 8, the profile 11B has been rotated through a measure of approximately forty degrees (40°) forming the articular surface 21. In the right hand side of figure 2, the tibiofemoral articular surface 21 has been completed, by the addition of a portion 32 posteriorly of the line 31-31, and not a portion of the surface 21 generated by rotating the profile 11B about the axis 23. In figures 9 and 10, tibial and femoral articular surfaces respectively are shown, to illustrate the present invention in a single compartmental knee prosthesis. In figure 9, the tibial articular surface 33 is illustrated while in figure 10, the single condylar femoral surface 35 is illu-

strated.

# PARTS LIST

	PART NUMBER	DESCRIPTION
5	10	knee prosthesis
	11	tibial articular surface
	12	horizontal line
	13	inclined axis
10	14	medial side of knee
	15	lateral side of knee
	16	anterior side of knee
	17	posterior side of knee
	18	rotational path, initial
	19	rotational path, middle
15	20	rotational path, complete
	21	femoral articular surface
	22	horizontal line
	23	inclined axis
	24	medial side
	25	lateral side
20	26	anterior side
	27	posterior side
	28	rotational path
	29	rotational path
	30	rotational path
	31	single condylar tibial surface
25	32	single condylar femoral surface

Because many varying and different embodiments may be made within the scope of the inventive concept herein taught, and because many modifications may be made in the embodiments herein detailed in accordance with the descriptive requirement of the law, it is to be understood that the details herein are to be interpreted as illustrative and not in a limiting sense.

## Claims

1. A knee replacement prosthesis (10) comprising:
  - a) a tibial component (10-A);
  - b) a femoral component (10-B) that articulates against the tibial component (10-A);
  - c) the tibial (10-A) and femoral (10-B) components capable of flexing about a flexion axis that reaches an inclination of from about ten degrees (10°) to about fifty degrees (50°) with respect to a line that is tangent to the distal femoral condyles in the frontal plane, while maintaining congruent contact.
2. A prosthesis as claimed in claim 1 wherein the femoral component (10-B) is capable of external rotation with respect to the tibial component (10-A), at least during the first few degrees of flexion from a beginning fully extending position.
3. A prosthesis as claimed in claim 1 or 2, wherein the flexion axis is higher toward the middle portion of the users body and lower toward the side portion of the users body.
4. A prosthesis as claimed in any one of the preceding claims, wherein the tibial component (10-A) includes a single condylar articulating surface.
5. A prosthesis as claimed in any one of the preceding claims, wherein the femoral component (10-B) includes a single condylar articulating surface.
6. A prosthesis as claimed in any one of claims 1 to 4, wherein the tibial component (10-A) has a pair of spaced apart condylar articulating surfaces.
7. A prosthesis as claimed in any one of claims 1 to 4 or 6, wherein the femoral component (10-B) has a pair of spaced apart condylar articulating surfaces.

8. A prosthesis as claimed in any one of the preceding claims, wherein the condylar articulating surface can be generated by rotating a curved line profile about an inclined axis that is inclined between ten (10) and fifty (50) degrees with respect to horizontal.

5 9. A prosthesis as claimed in claim 8, wherein the curved profile is rotated about the inclined axis by a measure between one and one hundred twenty degrees (1°-120°).

10. A knee joint prosthesis comprising:

- 10 a) a tibial component (10-A) with a tibial articulating surface (11);  
b) a femoral component (10-B) with a femoral articulating surface (21);  
c) a means for attaching the tibial component to the patient's tibia;  
d) means for attaching the femoral component to the patient's femur;  
e) the tibial and femoral components (10-A, 10-B) being capable of flexing about a flexion axis that reaches an inclination of from about ten degrees (10°) to about fifty degrees (50°) with respect to a line that is tangent to the distal femoral condyles in the frontal plane; and  
15 f) wherein substantial internal rotation of the tibial component (10-A) is enabled with respect to the femoral component (10-B) during flexion, and with substantial congruent area contact maintained between the tibial and femoral articulating surfaces (11,21).

20

25

30

35

40

45

50

55

FIG. 1

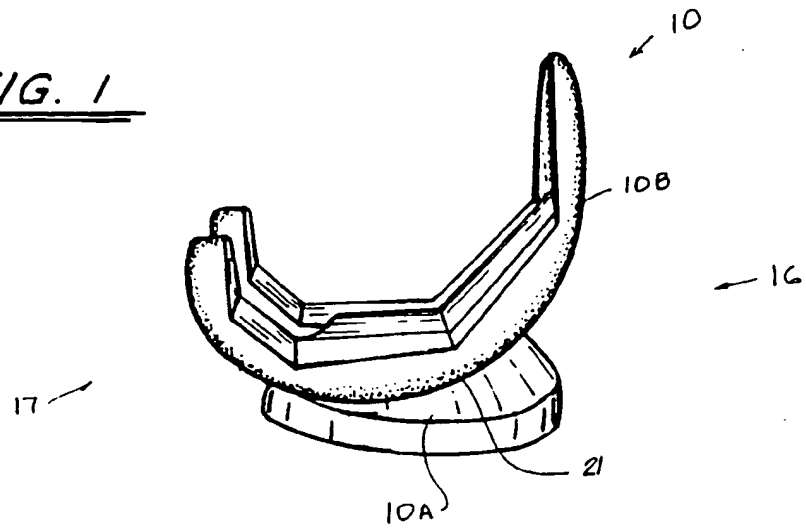


FIG. 2

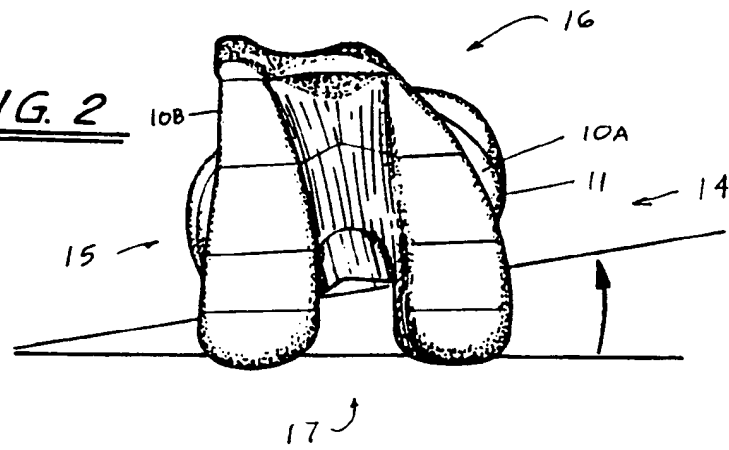
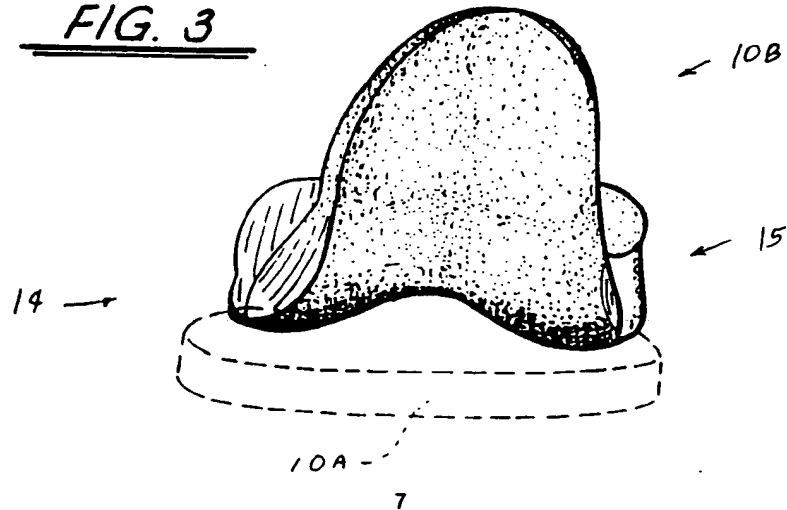
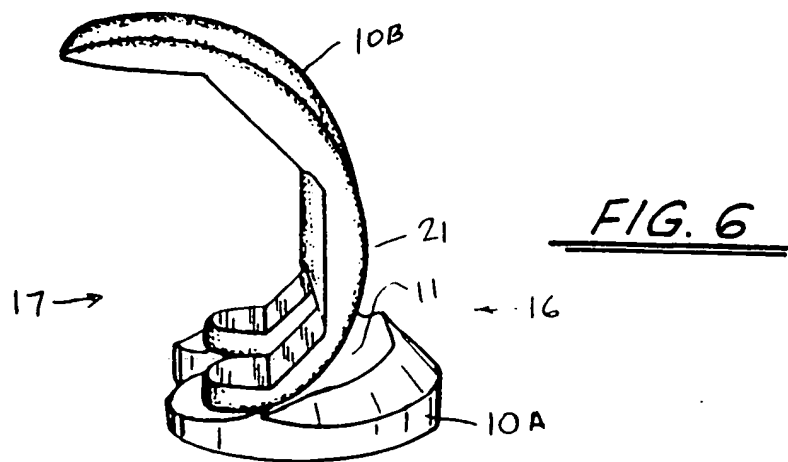
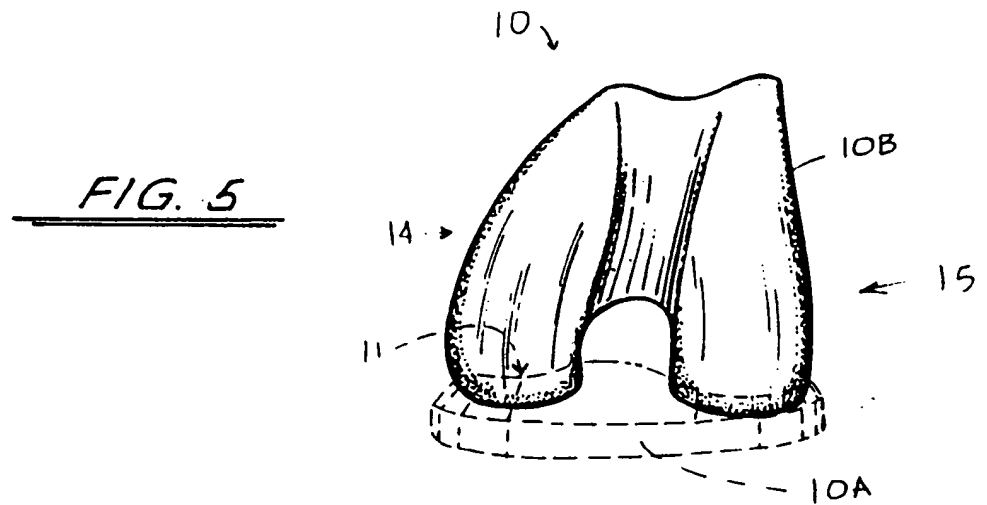
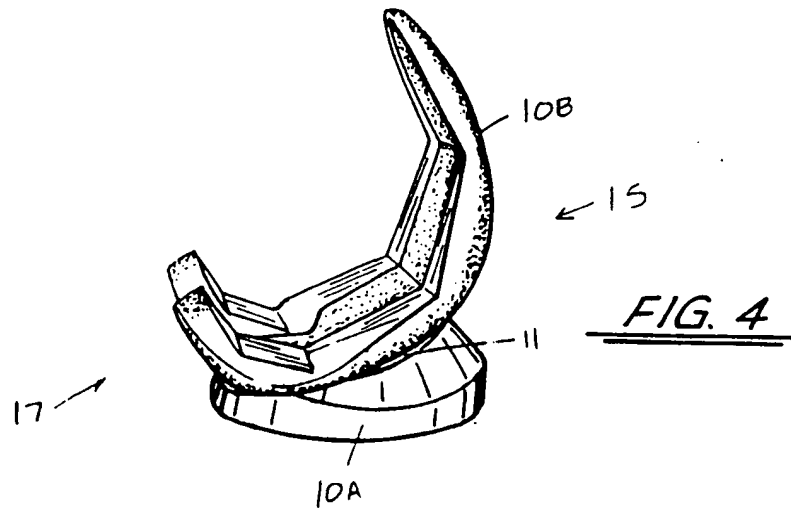
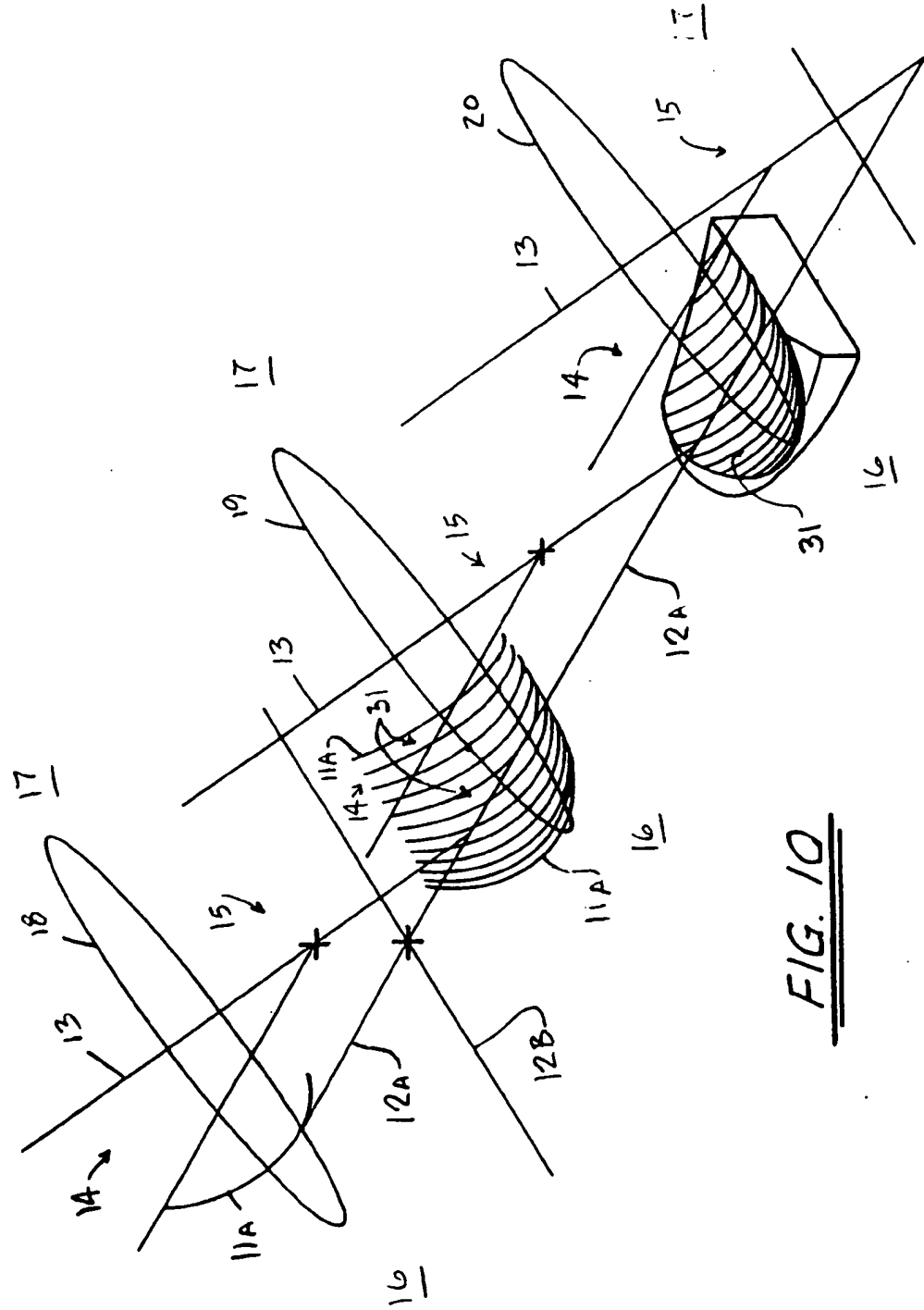


FIG. 3











European Patent  
Office

# EUROPEAN SEARCH REPORT

Application Number

EP 92 30 6205  
Page 1

DOCUMENTS CONSIDERED TO BE RELEVANT			
Category	Citation of document with indication, where appropriate, of relevant passages	Relevant to claim	CLASSIFICATION OF THE APPLICATION (Int. Cl.5)
X,D	US-A-4 340 978 (BUECHEL ET AL.) * abstract; figures 5-9,22,23,27 * * column 7, line 67 - column 10, line 3 * ---	1-3,5-8,10	A61F2/38
X	US-A-4 034 418 (JACKSON ET AL.) * abstract; figures 5,6 * * column 1, line 20 - line 31 * ---	1-3,6-8,10	
X	EP-A-0 119 394 (GEBRÜDER SULZER AG) * abstract; claim 1; figures 3,5,6 * * page 6, line 23 - page 7, line 25 * ---	1-4,8,10	
X	US-A-4 892 547 (BROWN) * abstract; figures * * column 7, line 7 - line 62 * ---	1-5,8,9,10	
P,X	EP-A-0 442 330 (FRIEDRICHSFELD AG KERAMIK-UND KUNSTSTOFFWERKE) * abstract; figure 2 * * column 6, line 51 - column 7, line 16 * ---	1-3,6-8,10	TECHNICAL FIELDS SEARCHED (Int. Cl.5)
A	EP-A-0 376 658 (JOHNSON & JOHNSON ORTHOPAEDICS INC.) * abstract; figures 1,2 * * column 1, line 41 - line 52 * ---	1,10	A61F
A	D. DOWSON & V. WRIGHT 'An introduction to the bio-mechanics of joints and joint replacement' 1981, MECHANICAL ENGINEERING PUBLICATIONS LTD, LONDON * page 74, left column, line 20 - right column, line 33; figures 10.5-10.7 * --- -/--	1,10	
The present search report has been drawn up for all claims			
Place of search THE HAGUE		Date of completion of the search 15 OCTOBER 1992	Examiner ZEINSTR A H.
CATEGORY OF CITED DOCUMENTS		T : theory or principle underlying the invention E : earlier patent document, but published on, or after the filing date D : document cited in the application L : document cited for other reasons A : member of the same patent family, corresponding document X : particularly relevant if taken alone Y : particularly relevant if combined with another document of the same category A : technological background O : non-written disclosure P : intermediate document	

EPO FORM 1503 (03.81) (P0401)



European Patent  
Office

# EUROPEAN SEARCH REPORT

Application Number

EP 92 30 6205  
Page 2

DOCUMENTS CONSIDERED TO BE RELEVANT			
Category	Citation of document with indication, where appropriate, of relevant passages	Relevant to claim	CLASSIFICATION OF THE APPLICATION (Int. Cl.5)
A	FR-A-2 145 957 (EWALD) * figures 1A-2B *	1, 10	
			TECHNICAL FIELDS SEARCHED (Int. Cl.5)
The present search report has been drawn up for all claims			
Place of search THE HAGUE		Date of completion of the search 15 OCTOBER 1992	Examiner ZEINSTRA H.
<p><b>CATEGORY OF CITED DOCUMENTS</b></p> <p>X : particularly relevant if taken alone  Y : particularly relevant if combined with another document of the same category  A : technological background  O : non-written disclosure  P : intermediate document</p> <p>T : theory or principle underlying the invention  E : earlier patent document, but published on, or after the filing date  D : document cited in the application  L : document cited for other reasons  &amp; : member of the same patent family, corresponding document</p>			

EPO FORM 1503 01.82 (P0401)

**This Page is Inserted by IFW Indexing and Scanning  
Operations and is not part of the Official Record**

**BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☐ BLACK BORDERS
- ☐ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
- ☐ FADED TEXT OR DRAWING
- ☒ BLURRED OR ILLEGIBLE TEXT OR DRAWING
- ☒ SKEWED/SLANTED IMAGES
- ☐ COLOR OR BLACK AND WHITE PHOTOGRAPHS
- ☐ GRAY SCALE DOCUMENTS
- ☐ LINES OR MARKS ON ORIGINAL DOCUMENT
- ☒ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY
- ☐ OTHER: \_\_\_\_\_

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.**